



# HOLLENCREST

CAPITAL MANAGEMENT

## Enhancing Your Account Security with a Trusted Contact

At **Hollencrest Capital Management (HCM)**, your financial security is our top priority. In alignment with guidance from the SEC's Office of Investor Education and Advocacy, FINRA, and the North American Securities Administrators Association (NASAA), we strongly encourage you to designate a **trusted contact person** for your brokerage accounts.

### What is a Trusted Contact?

Think of a trusted contact like an emergency contact for your investments. They are someone age 18 or older — such as a family member, close friend, or professional advisor — whom we can contact in limited circumstances if we cannot reach you or if we suspect potential fraud.

### Why Add a Trusted Contact?

While providing this information is optional, designating a trusted contact provides an additional layer of protection. It allows us to:

- Address concerns about possible financial exploitation or fraud.
- Confirm your current health status if we suspect you are ill or suffering from diminished capacity.
- Identify legal guardians, executors, or trustees if necessary.

**Important Note:** Naming a trusted contact **does not** give that person authority to act on your behalf, execute trades, or make decisions about your account.

You will be asked for trusted contact information when opening a new managed account or updating your existing details. You are not required to name a trusted contact, but we do encourage you to do so. You can add or change your trusted contact at any time by contacting your investment professional.

Please do not hesitate to call your investment representative or HCM's Operations Department at (800) 838-8951, should you have any questions, comments, or concerns relating to trusted contact person.